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CAPPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

•09/930.535

08/15/2001

Raymond F. Cracauer

FORS-06449

JAN 1 6 2002 E

EN & CARROLL, LLP

Suite 2200 220 Montgome

220 Montgomery Street San Francisco, CA 94104 CONFIRMATION NO. 2205

FORMALITIES LETTER

OC000000006799841

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Raymond F. Cracauer et al.

Serial No.:

09/930,535 08/15/2001

Group No.: Examiner:

Filed: Entitled:

Polymer Synthesizer

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on **December 5, 2001**.

By: Mary Ellen/Waite

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed 09/27/01 (a copy of which is enclosed), applicants submit the following documents:

- ▶ Executed declaration or oath
- ▶ an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to Third Wave Technologies, Inc., 502 So. Rosa Road, Madison, WI 53719 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
- ▶ Petition for extension of time for filing within the first month

A check in the amount of \$160.00 is enclosed herewith to cover:

\$65.00 surcharge for filing missing parts of an application

\$40.00 recordation fee

\$55.00 for filing a one month extension of time

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: December 5, 2001

Jason R. Bond

Registration No. 45,439

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